



30 OCTOBER 2020

GEN. REYNALDO I. BERROYA
Administrator
LIGHT RAIL TRANSIT AUTHORITY (LRTA)
 1st Floor, Line 2 Depot Marcos Highway,
 Pasig City

ACKNOWLEDGEMENT RECEIPT

LETTER **27 OCTOBER 2020**
 DATE:

RE: **[E] LETTER FROM LRTA TO GCG RE SUBMISSION OF
 LRTA'S 3RD QUARTERLY MONITORING REPORT (PES FORM
 4)**

The said document was officially received by the Governance Commission on 30 October 2020 and has been forwarded to the responsible GCG Officer for appropriate action.

To follow-up for further action on the document, you may contact us through telephone numbers (02) 5328-2030 or (02) 5318-1000. Please cite the GCG Document Management System (DMS) Barcode Number: **0-0509-30-10-2020-013371**.

THIS RECEIPT IS COMPUTER GENERATED AND DOES NOT REQUIRE SIGNATURE.

Received by:

 Signature over Printed Name

 Date and Time

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27 October 2020

HON. SAMUEL G. DAGPIN, JR.

Chairman
3/flr. Citibank Centre, 8741 Paseo de Roxas
Makati City

Through: **Atty. Johann Carlos S. Barcena**
Director III, Corporate Governance Office-B

Ms. Luz Jordana S. Jose
Corporate Governance Officer V, Corporate Governance Office - B

Subject: **Submission of LRTA's 3rd Quarterly Monitoring Report (PES Form 4)**

Dear Chairman Dagpin:

In compliance with the GCG Memorandum Circular (MC) No. 2017-02, we respectfully submit the 3rd Quarterly Monitoring Report for CY 2020 (PES Form 4) of the Light Rail Transit Authority.

Thank you and best regards.

Very truly yours,


GEN. REYNALDO I. BERROYA
Administrator



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(LIGHT RAIL TRANSIT AUTHORITY)

		Component			Annual Target	3 rd Quarter CY 2020		
Strategic Objective (SO)/ Strategic Measure(SM)		Formula	Weight	Rating System ¹		Target	Actual	
SOCIAL IMPACT	SO 1	Increased Passenger Ridership						
	SM 1	Passenger Ridership	Absolute Figure (in Millions)	0%	Actual / Target	L1: 166.59 Million	L1: 42.36 Million ²	L1: 6.38 Million
				2%		L2: 51.29 Million	L2: 16.42 Million ³	L2: 1.91 Million
			Sub-total	2%				
	SO 2	Improved Customer Satisfaction						
	SM 2	Percentage of Satisfied Customers a. Passengers	Number of respondents who gave at least a Satisfactory rating / Total number of respondents	6%	Actual / Target 0% = If less than 80%	90% ⁴	Conduct of 1 st Stage Customer Survey	Revised the Terms of Reference (TOR) and Budget due to recent guidelines issued by GCG on the Conduct of Customer Satisfaction Survey The conduct of bi-annual Customer Satisfaction Survey is not feasible this year due COVID-19 and decline in ridership
b. Concessionaires		2%						

¹ But not to exceed the weight assigned per indicator

² Based on the GCG approved original annual target. Pending approval of the revised target by the GCG.

³ Based on the GCG approved original annual target. Pending approval of the revised target by the GCG.

⁴ Using the Enhanced Guidelines for the Conduct of the CSS prescribed by the GCG.

PES Form 4
3rd Quarter Monitoring Report

	Component				Annual Target	3 rd Quarter CY 2020		
	Strategic Objective (SO)/ Strategic Measure(SM)	Formula	Weight	Rating System ¹		Target	Actual	
USTOMER &STAKEHOL- DER	SO 3	Addressed Increasing Demands for the Existing Lines and New Mass Transit Systems						
	SM 3	Line 2 East Extension Project: Electro-Mechanical Works and Track Works for Package 3	Percentage of completion	10%	Actual / Target	100% completion	75% completion ⁵	75.08% completed
	SM 4	Line 1 South Extension Project a. Acquisition of Lots under Modified C1-A ROW Alignment for Packages 2 and 3	Actual Accomplishment	2%	$Rating(R) = \left(\frac{Actual}{Target} \times 100\%\right) \times 2\%$	12 Lots	9 lots: on-going acquisition (2/12 lots) R= 0.33%	9 lots- ongoing acquisition 2 lots- acquired R=0.33%
		b. Relocation of Utilities Affected by Project Alignment		2%	$Rating(R) = \left(\frac{Actual}{Target} \times 100\%\right) \times 2\%$	8 Utilities	4 utilities relocated (4/8 utilities) R=1.0%	3 utilities relocated (3/8 utilities) R=0.75%
		c. Delivery of Trainset of the New Rolling Stock-4 th Gen LRVs		4%	$Rating(R) = [(TM_{ave} \times 0.5) + (FT_{ave} \times 0.3) + (DO_{ave} \times 0.2)] \times 4\%^6$	2 Trainsets	TM _{ave} =100% FT _{ave} =60% DO _{ave} =0% R=2.72%	TM _{ave} =100% FT _{ave} =65% DO _{ave} =0% R=2.78%
			Sub-total	26%				

⁵ Based on the GCG approved original annual target. Pending approval of the revised target by the GCG from 100% to 80%.

⁶ Legend: TM_{ave} refers to average score of TS1 and TS2 for Train Manufacturing @ 50% weight; FT_{ave} refers to average score of TS1 and TS2 for Factory Testing @ 30% weight; DO_{ave} refers to average score of TS1 and TS2 for Delivery On-site @ 20% weight

PES Form 4
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	Strategic Objective (SO)/ Strategic Measure(SM)	Formula	Weight	Rating System ¹		Target	Actual	
INTERNAL PROCESS	SO 4	Ensured Delivery of Performance by the Private Concessionaire						
	SM 5	Compliance Rate of Concessionaire to the Performance Commitments under the Concession Agreement	Percentage of compliance to Secondary KPI (No. of Rectified Noncompliance +Total No. of Noncompliance x 100%	10%	95% & above = 10% 94% = 9% 93% = 8% 92% = 7% 91% = 6% 90% = 5% Below 90% = 0%	L1: 95%	L1: 95%	L1: 100%
	SM 6	Compliance Rate of Line 2 Automated Fare Collection System (AFCS) Concessionaire to the Service Level Agreement (SLA)	No. of SLA provisions complied ÷ Total number relevant provisions	10%	Actual / Target	L2: 100%	L2: 100%	98.32%
INTERNAL PROCESS	SO 5	Improved Efficiency and Reliability of LRT Systems and Processes						
	SM 7	Number of Projects Completed for Improved Systems and Facilities	No. of projects with 100% completion / Total Number of Projects for the Year	12%	Actual / Target	L2: 9 Projects	7 projects ⁷	0 project

⁷ Based on the GCG approved original annual target. Pending approval of the revised target by the GCG.

PES Form 4
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	Strategic Objective (SO)/ Strategic Measure(SM)		Formula	Weight		Rating System ¹	Target	Actual
		SM 8	ISO Certification	Actual Accomplishment		7%	All or Nothing	Pass 2nd Surveillance Audit Conduct of the Year 3 Surveillance Audit Preparation of response/ compliance requirements based on the result of Year 3 Surveillance Audit
			Sub-total	39%				
FINANCIAL	SO 6	Sustainability of Financial Conditions						
	SM 9	Budget Utilization Rate	Actual Award / Total Budget	5%	Actual / Target	80%	25%	34.64% ⁸
		a. Current Budget (2019 & 2020)						
	b. 2018 & Prior Years' Subsidies		4%		80%	-	69.15% ⁹	

⁸ The Total Budget is based on the revised COB 2020 (for Spareparts and Rehab Projects). Total Rehab Projects is 901M. However, in compliance with of the RA 11469 Bayanihan to Heal as One Act for the governments' measure to address the COVID-19 pandemic, two (2) of the three (3) projects were discontinued such as Supply, Installation, Testing and Commissioning of Platform Screendoors P2 and Systematic Replacement of Rolling Stock Train Air Conditioning Unit for Four (4) Trainsets with total amount of P834M. Cumulative BUR is **44.22%** for spare parts and rehab projects).

⁹ Cumulative for Jan to September 2020.

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	Component					Annual Target	3 rd Quarter CY 2020	
	Strategic Objective (SO)/ Strategic Measure(SM)		Formula	Weight	Rating System ¹		Target	Actual
	SM 10	Farebox Ratio	Rail Revenue / Operating Expenses (excluding depreciation)	7%	Actual / Target	0.44	0.61 ¹⁰	0.20 ¹¹
	SM 11	Non-Rail Revenue ¹²	Actual Non-Rail Revenues	7%	Actual / Target	P143.79M ¹³	P 35.95 M ¹⁴	P 18.56 Million
			Sub-total	23%				
	SO 7	Achieve Railway System Competency and Expertise						
LEARNING AND GROWTH	SM 12	Percentage of Employees Meeting Required Competences	Employees with competency gaps determined / Total number of	5%	All or Nothing	Board Approval of Revised Competency Framework	Preparation of the Revised Competency Framework of LRTA	On-going preparation of the Revised Competency Framework of LRTA

¹⁰ Based on the GCG approved original annual target. Pending approval of the revised target by the GCG from Farebox to Collection Efficiency.

¹¹ Based on Tentative Statement of Financial Performance for the period ended September 30, 2020.

¹² Non-rail revenues comprise income from commercial, advertising spaces, land lease, interconnection access, right of way, and short-term activities.

¹³ Subject to renegotiation with the GCG in view of the impact of COVID 19 pandemic.

¹⁴ Based on the GCG approved original annual target. Pending approval of the revised target by the GCG.

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	Strategic Objective (SO)/ Strategic Measure(SM)		Formula	Weight		Rating System ¹	Target	Actual
	SM 13	Establishment of Philippine Railway Training Center (PRTC)	Actual Accomplishment	5%	All or Nothing	TESDA Certificate of Program/Module Registration for Train Traffic Program	Secure approval from LRTA officials prior to submission of Train Traffic Module /Program to TESDA	On-going preparation and completion of requirements and documentation necessary for the program registration/accreditation. PRTC Group officers attended an Online Orientation conducted by TESDA on September 15, 2020 on the latest and updated requirements for TVET Program registration
			Sub-total	10%				
			TOTAL	100%				