

27 July 2018

SAMUEL G. DAGPIN, JR.

Chairman
Governance Commission for GOCCs
3/flr. Citibank Centre, 8741 Paseo de Roxas
Makati City

Through:

Atty. Johann Carlos S. Barcena

Director III, Corporate Governance Office-B

Mr. Norbert G. Germano, CPA Corporate Governance Officer III

Dear Chairman Dagpin:

We are pleased to transmit the LRTA's Monitoring Report of Performance Targets for the 1st and 2nd quarters of 2018 (PES Form 4) and the Quarterly Targets for 2018 (PES Form 2a) based on the GCG-approved Scorecard for CY 2018.

Thank you and best regards.

Very truly yours

GEN. REYNALDO I. BERROYA

Administrator



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Administrator

Initials by:

ELEANORE TOOMINGO
Manager, Planning Department

2618. LT. PLD. 029

PES Form 4 1st Quarter Monitoring Report

LIGHT RAIL TRANSIT AUTHORITY

		CUSTO	MER & STAKEHO	DLDE	RS				SOCI					
	SM 4		SM 2 SM 2 SM 3				SM 2 SM 1			SO 1	0	Strate		
Sub-total	Construction of Line 1 South Extension Project	Line 2 East Extension Project Construction of Line 1 South Extension Project			Customers	Percentage of Satisfied	Improved Customer Satisfaction	Sub-total	Ridership	Increased Passenger	Increased Passenger Ridership	Measure(SM)	Strategic Objective (SO)/ Strategic	
	Actual Accomplishment	Addressed Increasing Demands for the Existing Lines and New Mass Transit Systems Percentage of completion		Satisfactory rating/ Total number of respondents	Number of respondents which gave at least a	ion		(in Millions)		ip	Formula		Component	
23%	10%	8% 10%			3	, ,		7%	2% 5%			Weight		
	Actual / target x weight A: 5% B: 5%		Actual / target		0%=If less than 80%	Actual/Target x Weight			l arget x weight	Actual / Target x weight		System	Rating	
	A. Delivery of 5 lots under Package 3 (las Pinas-Niog) B. Relocation of 165 ISFs under Package 2		100% completion of Preparatory Works for the construction of Design and Build of Stations A. Delivery of 5 lots under Package 3 (las Pinas-Niog) B. Relocation of 165 ISFs under		Questionnaire developed by the GCG)	90% (Using the Standard			L2: 67.28	L1: 160.12			Annual Target	
	90% A. Court proceeding B. Procurement of Consultant for the Relocation of ISFs			Consulting Services	TOR preparation for			L2: 17.93 M	L1:		Target		[1st	
	B. On-going procurement of Consultant for the Relocation of ISFs	A. On-going Court Proceeding	82.5%		prepared	Terms of Reference for Consulting Services			L2: 16.45 M	L1: 39.97 M		Actual		11stl Quarter

INTERNAL PROCESS INTERNAL PROCESS 8M9 8 MS SM 7 SO 5 SM 6 SM 5 SO 4 Strategic Objective (SO)/ Strategic Measure(SM) **Budget Utilization Rate** and Rehabilitation Projects) (GAA - Funded Spare Parts System (AFCS) ISO Certification Improved Efficiency and Reliability of LRT Systems and Processes Concessionaire to Service Automated Fare Collection Compliance of Line 2 Agreement under the Concession to Performance Commitments Compliance of Concessionaire Ensured Delivery of Performance by the Private Concessionaire Level Agreement (SLA) Improve Systems and Facilities Sub-total Sub-total Component APP - savings generated) Actual award / (total completed No. of projects provisions complied + Total number relevant compliance to SLA x 100%) Actual Accomplishment (Physical Completion) provisions) x 100%) provisions (No. of Percentage of Secondary KPI compliance to No. of Noncompliance Noncompliance +Total (No. of Rectified Percentage of Formula Weight 45% 20% 14% 10% 5% 6% 10% Actual /Target Actual/Target All or Nothing Actual / target above= 10% 89.9% and below=0% x Weight x Weight x weight 91%=6% 93%= 8% 94%= 9% Rating System 90%=5% 92%=7% 95% and ISO 9001:2105 QMS Certified **Annual Target** L2: Seven (7) projects 75% 90% 95% requirements for the L2: Four (4) projects Preparation of ISC 9001: 2015 QMS 3rd Party Audit Target 90% 95% 5% [1st] Quarter 2015 QMS requirements for the 3rd Party Audit Prepared ISO 9001: L2: Four (4) projects 93.83% 6.7% Actual 100%

[LRTA] | Page 2 of 3 1st Quarter Monitoring Report

LEARNING LEARNING AND AND GROWTH FINANCIAL **GROWTH** SM 13 SM 12 SM 11 SM 10 SO 7 SO 6 Strategic Objective (SO)/ Strategic Railway Training Center (PRTC) Establishment of Philippine Competencies Meeting Required Improved Non-Rail Revenue Achieved Railway System Competency and Expertise Percentage of Employees Ensured Delivery of Performance by the Private Concessionaire Farebox Ratio Measure(SM) Sub-total Sub-total TOTAL Component Actual Accomplishment number of employees determined/ total competency gaps commercial and Employees with Actual Revenue from Operating Expenses advertising spaces depreciation) (excluding Rail Revenue / Formula Weight 100% 10% 5% 15% 5% 10% 5% All or Nothing Actual /Target Actual /Target Actual /Target x Weight B. 2.5% A. 2.5% x Weight x Weight Rating System b. Official Launch a. Soft Launch to Potential Clients competency gaps are addressed by accredited Train December 2018 Program Public Driving Level II personnel with **Annual Target** 25% of LRTA 129.19 Million Offering: identified TESDA-1.00 P 32.3 M Target 0.65 [1st] Quarter clients and website communication Accredited Train Driving regarding dissemination information Level II Program. launching Conducted On-going activity P 30.30 m Actual 1.05 ō soft potential posting) through (written feelers

[LRTA] | Page 3 of 3 1st Quarter Monitoring Report

LIGHT RAIL TRANSIT AUTHORITY

CUSTOM	ER &	STAKEHOLDERS			SOCI IMPA					
SM 3	SO 3	SM 2	SO 2		SM 1		SO 1	St	Stra	
Line 2 East Extension Project	Addressed Increasing Demands for the Existing Lines and New Mass Transit Systems	Percentage of Satisfied Customers	Improved Customer Satisfaction	Sub-total	Ridership	Increased Passenger	Increased Passenger Ridership	Strategic Measure(SM)		
Percentage of completion	emands for the Existing	Number of respondents which gave at least a Satisfactory rating/ Total number of respondents	Absolute Figure (in Millions) faction Number of respondents which gave at least a Satisfactory rating/ Total number of respondents		ership	Formula	1	Component		
8%	Lines and N	5%		7%	5%	2%		weignt		
Actual / target x weight	ew Mass Trans	Actual/Target x Weight 0%=If less than 80%			l arget x weight	Actual /		System		
100% completion of Preparatory Works for the construction of Design and Build of Stations	it Systems	90% (Using the Standard Methodology and Questionnaire developed by the GCG)			L2: 67.28	L1: 160.12			Annual Target	
10%		Bidding process			L2: 14.78 M	L1:		Target		[2n
. 17.5%		TOR approved; schedule for bidding			L2: 14.65 M ²	L1: 39.33 M		Actual		[2nd] Quarter

¹ Based from LRMC Report as submitted by CAMT ² Based from LRMC Report as submitted by FROG

INTERNAL PROCESS SM 6 SM 5 SO 4 Strategic Objective (SO) Strategic Measure(SM) Performance to Service Level Collection System Automated Fare Construction of Line 1
South Extension Project Agreement (SLA) (AFCS) Concessionaire Compliance of Line 2 Concession Agreement Commitments under the Concessionaire to Compliance of Ensured Delivery of Performance by the Private Concessionaire Sub-total Sub-total provisions) x 100%) provisions complied + Percentage of compliance to SLA provisions (No. of No. of Noncompliance compliance to Accomplishment Total number relevant Noncompliance +Total Secondary KPI Percentage of (No. of Rectified Component Formula Weight 20% 10% 10% 23% 10% 89.9% and below=0% above= 10% 94%= 9% 93%= 8% 91%=6% 90%=5% 92%=7% 95% and Actual / target x weight weight target x Actual / System B. 5% A: 5% Rating B. Relocation of Package 3 (las A. Delivery of 5 165 ISFs under **Annual Target** Pinas-Niog) Package 2 lots under 90% 95% B P Consultant for the Relocation of ISFs Procurement of Court proceeding Target 90% 95% [2nd] Quarter B P On-going Proceeding On-going Court procurement of Relocation of ISFs Consultant for the 92.56% 99.29% Actual C.

[LRTA] | Page 2 of 4 2nd Quarter Monitoring Report

FINANCIAL INTERNAL PROCESS SM 11 SM 10 SO 6 SM9 8 MS SM 7 SO 5 Strategic Objective (SO)/ Strategic Measure(SM) Improved Non-Rail Farebox Ratio Revenue Ensured Delivery of Performance by the Private Concessionaire Projects) Parts and Rehabilitation **Budget Utilization Rate** ISO Certification Improved Efficiency and Reliability of LRT Systems and Processes (GAA - Funded Spare Improve Systems and Facilities Sub-total Sub-total (excluding depreciation) Operating Expenses advertising spaces commercial and generated) APP - savings Actual award / (total Accomplishment completed No. of projects Actual Revenue from Rail Revenue / (Physical Completion) Component Formula Weight 15% 10% 45% 6% 14% 5% 5% Actual/Target /Target x /Target x Weight x Weight /Target x Weight Rating System All or Nothing Weight Actual Actual Actual **Annual Target** ISO 9001:2105 QMS Certified L2: Seven (7) 129.19 Million projects 1.00 75% Conduct 1st and 2nd Stage 3rd Party Audit L2: Three (3) projects P 32.3 M Target 0.64 15% [2nd] Quarter June 4, 2018 by SOCOTEC-Philippines Inc. June 11, 2018 by SOCOTEC-Philippines Inc. Certification International Conducted 1st Stage Audit on L2: Three (3) projects Certification International Conducted 2nd Stage Audit on P 31.63 M 11.22% Actual 0.89

[LRTA] | Page 3 of 4 2nd Quarter Monitoring Report

	FINANG	CIAL				INTERNAL PROCESS					
	SM 11	SM 10	SO 6		SM 9	SM 8	SM 7	SO 5	Strategic Measure(SM)	2	
Sub-total	Improved Non-Rail Revenue	Farebox Ratio	Ensured Delivery of Performance by the Private Concessionaire	Sub-total	Budget Utilization Rate (GAA - Funded Spare Parts and Rehabilitation Projects)	3					
	Actual Revenue from commercial and advertising spaces	Rail Revenue / Operating Expenses (excluding depreciation)	ormance by the Private		Actual award / (total APP - savings generated)	Actual Accomplishment	No. of projects completed (Physical Completion)	Improved Efficiency and Reliability of LRT Systems and Processes	Formula	Component	
15%	5%	10%	Concession	45%	5%	6%	14%	ns and Pro	Weight		
	Actual /Target x /Weight	Actual /Target x /Weight	aire		Actual∏arget x Weight	All or Nothing	Actual /Target x Weight	cesses	System	Rating	
	129.19 Million	1.00			75%	ISO 9001:2105 QMS Certified	L2: Seven (7) projects			Annual Target	
	P 32.3 M	0.64			15%	Conduct 1 st and 2 nd Stage 3 rd Party Audit	L2: Three (3) projects		Target	[2nd	
	P 31.63 M	0.89			11.22%	June 4, 2018 by SOCOTEC-Certification International Philippines Inc. Conducted 2 nd Stage Audit on June 11, 2018 by SOCOTEC-Certification International Philippines Inc.	L2: Three (3) projects Conducted 1 st Stage Audit on		Actual	[2nd] Quarter	

[LRTA] | Page 4 of 4 2nd Quarter Monitoring Report

Strategic Objective (SO).
Strategic Measure(SM) Training Center (PRTC) Required Competencies Achieved Railway System Competency and Expertise Sub-total Actual Accomplishment number of employees competency gaps determined/ total Employees with Component Formula Weight 10% 5% 5% A. 2.5% Nothing B. 2.5% /Target x Rating System Weight All or Actual b. Official Launch Potential Clients a. Soft Launch to accredited Train Program Public December 2018 Driving Level II personnel with **Annual Target** addressed by 25³% of LRTA competency Offering: gaps are identified TESDA-Target [2nd] Quarter trainings and intervention. attendance gaps are addressed through Seventy-six (76) identified competency offering as well as arrangement for facilities and posting) TESDA PUP on June 25, academe Conducted soft launching to potential students 2018 on the course dissemination (written information facilities Conduct meeting with Program. feelers and website communication, clients Train Driving Level II Actual such employees Accredited regarding relevant through

LEARNING AND GROWTH

SM 13

Establishment of Philippine Railway

as

LEARNING AND

GROWTH

SM 12

Employees Meeting

Percentage of

SO 7

2nd Quarter Monitoring Report

[LRTA] | Page 4 of 4

³ Full year target is 25% equivalent to 22 LRTA employees out of 86 employees with identified competency gaps

TOTAL

100%

LIGHT RAIL TRANSIT AUTHORITY

	сиѕтоме	R & S	STAKEHOLDERS	SOCIAL IMPACT							
	SM 3	SO 3	SM 2	SO 2		2	2	SO 1	Stra	Strat	
	Line 2 East Extension Project	Addressed Increasing Demands for the Existing Lines and New Mass Transit Systems	Percentage of Satisfied Customers	Improved Customer Satisfaction	Sub-total	Ridership	Increased Passenger	Increased Passenger Ridership	Strategic Measure(SM)	Strategic Objective (SO)/	
	Percentage of completion	Demands for the Existi	Number of respondents which gave at least a Satisfactory rating/ Total number of respondents	tisfaction		(in Millions)	Absolute Figure	Ridership	rolling		Component
	8%	ing Lines a	5%		7%	5%	2%		Meidill	Moio h	
	Actual / target x weight	nd New Mass Trar	Actual/Target x Weight 0%=If less than 80%			weight	Actual / Target x		System	Rating	
	completion of Preparatory Works for the construction of Design and Build of Stations	sit Systems	90% (Using the Standard Methodology and Questionnaire developed by the GCG)			L2: 67.28 M	L1: 160.12 M			Annual	
	90%		TOR preparation for Consulting Services			17.93 M ²	40.03 M ¹		Quarter	181	
	10%		Bidding			14.78 M	40.03 M		Quarter	2 nd	Propose
	ı		Conduct of 1 st Stage Customer Survey			17.42 M	40.03 M		Quarter	3 rd	Proposed Target
. /	,		Conduct of 2 nd Stage Customer Survey			17.05 M	40.03 M		Quarter	4 th	



¹ Estimate targets only for each quarter which was computed by dividing annual target to 4 quarters. No data provided from the LRMC.
² Based on the signed report from the Fare Revenue Operations Group (FROG)

[LRTA] | Page 2 of 4

Quarterly Target 2018

	INTER	NAL PROCESS) 			
	SM 6	SM 5	SO 4		\ ≥ 4		Str	Stra	
Sub-total	Compliance of Line 2 Automated Fare Collection System (AFCS) Concessionaire to Service Level Agreement (SLA)	Compliance of Concessionaire to Performance Commitments under the Concession Agreement	Ensured Delivery of Performance by the Private Concessionaire	Sub-total	Project	Construction of Line 1	Strategic Measure(SM)	Strategic Objective (SO)/	
	Percentage of compliance to SLA provisions (No. of provisions complied + Total number relevant provisions) x 100%)	Percentage of compliance to Secondary KPI (No. of Rectified Noncompliance + Total No. of Noncompliance x 100%)	rformance by the Priv		Accomplishment	Actual	Formula	1	Component
20%	10%	10%	ate Conces	23%	10%				
	Actual / target x weight	95% and above= 10% 94%= 9% 93%= 8% 92%=7% 91%=6% 90%=5% 89.9% and below=0%	ssionaire		A: 5% B. 5%	Actual / target x weight	System	Rating	
	90%	95%			B. Relocation of 165 ISFs under Package 2	A. Delivery of 5 lots under Package 2 (las Pinas-Niog) ³		Annual	
	90%	95%			B. Procurement of Consultant for the Relocation of ISFs	A. Court proceeding (Expropriation case)	Quarter	1st	
	90%	95%			B. Procurement of Consultant for the Relocation of ISFs	A. Court proceeding (Expropriation case)	Quarter	2 nd	Proposed
-	90%	95%			B. Procurement of Consultant for the Relocation of ISFs	A. Court proceeding (Expropriation case)	Quarter	သ _{rd}	Targe
+	90%	95%			B. Schedule	A. Issuance of Writ of Possession	Quarter	4 th	

³ The delivery of remaining 5 lots is under expropriation proceedings which are beyond LRTA's control as it depends on the court decision.



INTERNAL PROCESS **FINANCIAL** 8M9 SM 7 SO 5 SM8 SO 6 Strategic Objective (SO)/ 10 10 Strategic Measure(SM) Facilities ISO Certification Improved Efficiency and Reliability of LRT Systems and Processes (GAA - Funded Spare Improved Non-Rail Ensured Delivery of Performance by the Private Concessionaire Rehabilitation Projects) Parts and **Budget Utilization Rate** Farebox Ratio Improve Systems and Revenue Sub-total Sub-total generated) Actual award / (tota Actual completed Operating Expenses APP - savings Accomplishment No. of projects and advertising from commercial Rail Revenue / Completion) Actual Revenue depreciation) (excluding (Physical Component Formula Weight 45% 14% 6% 10% 15% 5% 5% Actual /Target x Actual /Target x Actual /Target x Actual/Target x All or Nothing System Weight Rating Weight Weight Weight P 129.19 Million QMS Certified 0 9001:2105 L2: Seven (7) projects Annual 1.00 75% S Four (4) projects requirements for the 3rd Party Preparation of ISO 9001: 2015 Quarter P 32.29 Million QMS 0.65 Audit 5% Conduct 1st and 2nd Stage 3rd Party Audit Three (3) projects Quarter P 32.29 Million 0.64 15% 2nd Proposed Target response/compliance requirements based on the result of 3rd Preparation of Party Audit Quarter P 32.29 Million 25% $\omega_{
m d}$ Certification 9001:2015 Quarter of ISO LRTA's P 32.29 QMS Million 30% 4th 3

[LRTA] | Page 3 of 4 Quarterly Target 2018

LEARNING AND GROWTH SM 13 SM 12 Strategic Objective (SO)/ Strategic Measure(SM) Training Center Philippine Railway Establishment of Achieved Railway System Competency and Expertise Competencies Required **Employees Meeting** Percentage of Sub-total TOTAL Accomplishment employees number of competency gaps determined/ total Employees with Component Formula 10% Weight 5% 5% Actual /Target x All or Nothing B. 2.5% A. 2.5% Rating System Weight B. Official to Potential Launch Clients A. Soft Launch accredited Train Program Public Driving Level II December 2018 addressed by personnel with 25% of LRTA competency Offering: gaps are identified TESDA Annual Quarter Quarter **Proposed Target** Quarter Clients A. Soft B. Official Launch Potential Launch to Train Driving competency Program accredited December 2018 addressed Offering: **TESDA** Public Level II gaps are identified personnel Quarter 25% of LRTA with **4**th by

[LRTA] | Page 4 of 4 Quarterly Target 2018